

Pilling & Co. Cash ISA and/or Stocks & Shares ISA Transfer Request

Title First Names (in full)

Surname

Permanent Residential Address

Postcode

Tel (Home) E-Mail

Tel (Work) Tel (Mobile)

Date of Birth Your Pilling ISA/PEP number (if known)

Only complete the following if you are a new ISA client

Do you have a National Insurance Number? Yes No If Yes to must enter it below

You can find your NI number from a payslip, form p45 or p60, a letter from HM Revenue & Customs, or the DWP, or your pension book

Charges (please tick one only) I elect for :-

the dividend handling fee of **£6.75** per dividend

the annual fee of **0.5%** (min **£40** + vat max **£150** + vat)

the joint annual fee with my spouse for a combined fee of **0.5%** (min **£60** + vat max **£200** + vat)

I apply to transfer my existing ISA to Pilling & Co from: -

Existing Managers Address

Postcode

Your Account Number Telephone

With existing Manager

If you are transferring the current year's ISA please state your ISA contributions in this box £

Please tick this box if this request is for a part transfer only

If you are transferring part of a ISA, please supply with this form full details of what is to be transferred

To the above Plan Manager (tick one box only)

Please transfer the above ISAs immediately in their current form to Pilling & Co

OR Please liquidate the above ISAs immediately and transfer the cash to Pilling & Co

Please deduct any charges for this service from the cash in my Plan

Name Signed Date PTO

Dividends (Only complete if you are a new ISA client or if you wish to change your existing instructions)

- I wish to retain any dividends as cash in my ISA I wish to elect for the "DRIP" scheme
- I wish to have my dividends paid directly into my bank or building society account (**give details below**)

Bank Branch Sort Code
Account Number *8 digits only* Customer Ref (if required)

I am 18 years of age, or over, and resident and ordinarily resident in the UK, or perform duties, or I am the spouse of a Crown employee performing duties, which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 are treated as being performed in the UK, and will inform Pilling & Co if I cease to be so resident or to perform such duties or my circumstances change. All subscriptions made, and to be made, belong to me. I have not subscribed and will not subscribe more than the overall subscription limit to a cash ISA and a stocks and shares ISA in the same tax year. I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA

I authorise Pilling & Co to hold cash, plan investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash on my behalf. I understand plan investments will be registered in CREST, where possible, in the name of St Ann's Square Nominees Limited. I authorise Pilling & Co to make on my behalf any claims to relief from tax in respect of ISA investments and on my written request and within a time frame stipulated by me (not less than 30 days) to transfer (full or part), or pay to me, ISA investments, interest, dividends, rights or other proceeds from such investments or any cash. I understand that gross interest on cash balances will be credited to my account quarterly at rates fixed by Pilling & Co.

I declare that the above information is true and correct to the best of my knowledge and belief, and undertake to inform the Plan Manager of any change in circumstances affecting the information given in this form. I accept that the terms and conditions applicable to this plan may be changed to comply with any amendments made to ISA Regulations.

Signed

Date

We do not accept dealing instructions for Securities or Cash that form part of a Plan to be transferred until they are actually received by us. All investors are accepted only on the basis of this form and their acceptance of the current and later revised versions of the terms and conditions in our "ISA Introductory Guide," which is attached or available on request.

**Pilling & Co
Henry Pilling House
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Manchester
M2 4AF**

ISA Administration

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